



AF/2700/

PATENT  
Attorney's Docket No.: 042390.P4817 #6  
3-12-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Phillip E. Mattison, et al

Serial No.: 09/141,210

Filed: August 27, 1998

For: **IMPROVING THE PORTABILITY OF  
DIGITAL IMAGES**

Examiner: Y. Kassa

Art Group: 2621

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**NOTICE OF APPEAL FROM THE EXAMINER TO THE  
BOARD OF PATENT APPEALS AND INTERFERENCES**

Box AF  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants hereby appeal to the Board of Patent Appeals and Interferences  
from the decision of the Examiner in an Office Action dated December 5, 2000,  
finally rejecting claims 1-21 of the above-referenced Application.

03/09/2001 CV0111 00000100 09141210

01 FC:119

310.00 DP

A check in the amount of \$310.00 is enclosed herewith. Please charge any additional fees or credit any overpayment to our deposit account no. 02-2666. A duplicate copy of this Notice is enclosed herewith.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR, & ZAFMAN LLP

Dated: March 5, 2001

By: 

Farzad E. Amini  
Reg. No. 42,261

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
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*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 5, 2001.*

  
Jean Svoboda 3/5/2001



<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/141,210	
	Filing Date	August 27, 1998	
	First Named Inventor	Phillip E. Mattison	
	Group Art Unit	2621	
	Examiner Name	Y. Kassa	
Total Number of Pages in This Submission	5	Attorney Docket Number	42390P4817

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input checked="" type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Farzad E. Amini, Reg. No. 42,261 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	March 5, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <b>March 5, 2001</b>			
Typed or printed name			
Signature		Date	03/05/01

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# FREE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 310.00

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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### Complete if Known

Application Number 09/141,210  
Filing Date 08/27/98  
First Named Inventor Phillip E. Mattison  
Examiner Name Y. Kassa  
Group Art Unit 2621  
Attorney Docket Number 42390P4817

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### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 710 201 355		Utility filing fee	
106 320 206 160		Design filing fee	
107 490 207 245		Plant filing fee	
108 710 208 355		Reissue filing fee	
114 150 214 75		Provisional filing fee	

SUBTOTAL (1) (\$)

#### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
21			
Independent Claims			

Multiple Dependent Claims

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103 18 203 9		Claims in excess of 20	
102 80 202 40		Independent claims in excess of 3	
104 270 204 135		Multiple Dependent claim	
109 80 209 40		Reissue independent claims over original patent	
110 18 210 9		Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for ex parte reexamination	
112 920 112 920		Requesting publication of SIR prior to Examiner action	
113 1,840 113 1,840		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for response within first month	
116 390 216 195		Extension for response within second month	
117 890 217 445		Extension for response within third month	
118 1,390 218 695		Extension for response within fourth month	
128 1,890 228 945		Extension for response within fifth month	
119 310 219 155		Notice of Appeal	310
120 310 220 155		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidably	
141 1,240 241 620		Petition to revive - unintentionally	
142 1,240 242 620		Utility issue fee (or reissue)	
143 440 243 220		Design issue fee	
144 600 244 300		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 710 246 355		Filing a submission after final rejection (37 CFR 1.129(a))	
149 710 249 355		For each additional invention to be examined (37 CFR 1.129(b))	
179 710 279 355		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) (\$) 310.00

\* Reduced by Basic Filing Fee Paid

### SUBMITTED BY

Typed or Printed Name	Farzad E. Amini, Reg. No. 42,261	Complete (if applicable)
Signature		Reg. Number
Date	3/5/2001	Deposit Account User ID
		02-2666

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